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# Evolving options and future challenges for targeted therapies in ErbB2 (HER2)-positive breast cancer

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#### ABSTRACT

This article provides an overview of the rationale and advent of targeted therapies for ErbB2-positive breast cancer. It summarizes a presentation from a symposium held at the ECCO 14 congress.

The concept that oncogenic phenotype arises from several activated oncogenes but tumour growth is frequently highly dependent on a single oncogene (oncogene addiction) underlies the success of targeted cancer therapy. This has been effectively demonstrated in breast cancer, with the advent of ErbB2-targeted therapy. The first such agent used in treating patients with ErbB2-positive breast cancer, trastuzumab, heralded a major advance in this patient group. A wealth of novel targeted agents designed to further improve treatment in this setting are currently in development, including small molecule kinase inhibitors such as lapatinib, and anti-angiogenic agents that starve the growing tumour and limit metastasis.

In conclusion, novel targeted therapies could further improve outcomes in patients with ErbB2-positive breast cancer beyond that seen to date.

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# 1. In pursuit of novel targeted therapies for breast cancer

Breast cancer is a complex disease involving the dysregulated activation of multiple intracellular signalling cascades, leading to uncontrolled cellular proliferation, prolonged cell survival, angiogenesis, invasion and metastasis. <sup>1,2</sup> In particular, dysregulated signalling through the phosphatidylinositol-3-kinase (PI3K)/Ak transforming factor (Akt) and Raf/mitogen activated protein kinase (MAPK or MEK)/extracellular signal regulated kinase (ERK) pathways is implicated in breast tumorigenesis, progression and response to

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treatment. <sup>3-7</sup> Both of these signalling pathways are downstream of, and activated by, ligand binding to growth factor receptors, such as the ErbB2 (HER2) receptor. <sup>5,7</sup> Overexpression of the ErbB2 receptor, which belongs to the ErbB or epidermal growth factor receptor (EGFR) family of receptors, is highly prevalent in breast cancer, and is associated with clinically aggressive disease and reduced survival. <sup>8,9</sup> Indeed the aggressive nature of this form of breast cancer has led some to suggest that it should be viewed as a distinct entity within the umbrella of breast cancer.

In order to identify novel potential therapeutic molecular targets for the treatment of breast cancer, with the ultimate goal of developing more effective targeted therapies, an understanding of the complexities of these aberrant signalling pathways is essential. It is clear that several acquired mutations and/or epigenetic events resulting in loss of function of tumour suppressor

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Gene	Abnormality	Incidence (%)	Function	Involvement with PI3K/Akt and Raf/MEK/ERK signalling
Oncogenes				
ErbB2 8,9,11,12	Overexpression	25–30	185 KDa transmembrane tyrosine kinase	Upstream activator of both pathways
PI3K <sup>13</sup>	Mutation causing overactivation	26	Kinase	Pivotal member of PI3K/Akt signal pathway
Akt <sup>14</sup>	Overactivation	~30	Serine/threonine kinase	Pivotal member of PI3K/Akt signal pathway
Cyclin D1 <sup>14,15</sup>	Overexpression Amplification	60–70 15–20	Cell-cycle mediator	Activated by Akt/PI3K
Cyclin E <sup>15</sup>	Overexpression	30	Cell-cycle mediator	Activated by Akt/PI3K
c-myc <sup>16</sup>	Overexpression Amplification	Up to 70% ~20%	Transcription factor	Activated by signalling through both pathways
Tumour suppressors				
PTEN <sup>13,14,17</sup>	Loss of function	4–16	Phosphatase that negatively regulates Akt	Downregulates Akt activity
p53 <sup>18</sup>	Mutation causing inactivation	40–50	Negative regulator of cell cycle	May negatively regulate signalling through Akt
BRCA-1 <sup>19</sup>	Mutation	~5	Regulates DNA transcription and repairs DNA damage	ND
BRCA-2 <sup>20</sup>	Mutation leading to truncated protein	8	Repairs DNA damage	ND
Rb <sup>18</sup>	Mutation causing inactivation	30–40		ND

Akt = Ak transforming factor; ERK = extracellular signal regulated kinase; MEK = mitogen activated protein kinase; ND = not determined; PI3K = phosphatidylinositol-3-kinase.

genes or the activation of oncogenes are implicated in the aetiology of breast cancer. These genes are summarized in Table 1; a full description of them is available elsewhere. <sup>10</sup> Many of these disease-associated genes either directly participate in signalling through the PI3K/Akt and Raf/MEK/ERK pathways or are regulators of these pathways. As such, it is conceivable that any of these genes could serve as a viable therapeutic target. The challenge lies in identifying which is the most appropriate target for therapeutic intervention.

# 2. Oncogene addiction: an opportunity for design of targeted agents?

Paradoxically, despite the involvement of several activated oncogenes and loss of function of several tumour suppressor genes in the aetiology of cancer, the growth of many solid tumours appears to be highly dependent on just one oncogene (Figure 1). This phenomenon was first identified and referred to as 'oncogene addiction' by Weinstein in 2002. <sup>21</sup>

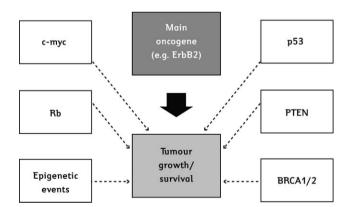


Fig. 1 – ErbB2-positive breast cancer is an example of oncogene addiction where just one of several disease-causing mutations appears to drive tumorigenesis. <sup>21,22</sup>

Oncogene addiction has been demonstrated in preclinical studies in several tumour types, including ErbB2-positive breast cancer. <sup>22</sup> For example, in one in vitro study, downregulation of ErbB2 gene expression using a specific antisense oligonucleotide was shown to inhibit

DNA synthesis and reduce the proliferation of breast cancer cells by up to 60%; no such inhibition was observed in breast cancer cells that did not overexpress ErbB2. <sup>23</sup> Similarly, in a mouse model of doxycycline-induced ErbB2 overexpression, doxycycline administration resulted in spontaneous growth and metastasis of ErbB2-overexpressing tumours while withdrawal of doxycycline led to ErbB2 downregulation and complete regression of primary tumours and lung metastases. <sup>24</sup>

Oncogene addiction has profound implications for the future development of targeted agents for the treatment of not only breast cancer, but also other tumour types. Specifically, this phenomenon could be exploited in the design of targeted agents tailored to suppress the activity of a single oncogene, thereby markedly inhibiting tumour growth. 21,22 Furthermore, proof of principle may already exist in the clinical setting, as oncogene addiction could explain the pronounced success of several targeted agents that have entered the clinic over the past decade for the management of difficult-to-treat malignancies. <sup>22</sup> One such example is the targeted agent imatinib, which quickly became the gold standard for the treatment of chronic myeloid leukaemia, a haematological malignancy dependent upon the Bcr-Abl oncogene. 25-27 Similarly, oncogene addiction to ErbB2 may explain the clinical success of the targeted agent trastuzumab in the treatment of ErbB2-overexpressing breast tumours. <sup>22,28–31</sup> The advent of trastuzumab-containing regimens resulted in significant survival benefits in patients with ErbB2positive disease in the adjuvant setting. 30

### 3. Improving targeted treatment of ErbB2-positive breast cancer

Despite showing clinical promise, there remain significant challenges in the treatment of ErbB2-positive breast cancer with currently available ErbB2-targeted therapy (specifically trastuzumab), particularly in the metastatic setting; this is explored in more detail in the accompanying articles in this supplement. The validation of novel targets for rational drug design is, therefore, needed (i.e., addicted oncogene; causative mutation; key driver), and it is critical to determine whether the putative target can be identified in a consistent, practical and cost-effective manner. It is also important to ensure that novel targeted agents are selective for malignant cells versus healthy cells, in order to maximize clinical benefit while minimizing the risk of adverse side effects that can often lead to poor treatment tolerance and/or treatment withdrawal.

A further obstacle to be overcome for the improved targeted treatment of breast cancer with signal transduction inhibitors is 'redundancy'. Redundancy between signalling pathways is implicated in tumour escape mechanisms and response to treatment in several tumour types. For example, cross-talk between

the oestrogen receptor and ErbB2 receptor signalling pathways is implicated in tamoxifen resistance in breast cancer. <sup>32,33</sup> There is also redundancy within the ErbB receptor signalling system, where intracellular and cellular effect are determined by the constituents of the dimers formed between ErbB family members (homodimers or heterodimers – generally involving ErB2). Overexpression of heterodimers and redundancy in the intracellular signalling pathways they activate has been implicated in non-response or loss of response to trastuzumab and to ErbB1 inhibitors in preclinical breast cancer studies. <sup>34</sup>

Combinations of targeted agents, each specific for a target on a distinct signal pathway, or agents that target more than one molecule, may be required to prevent the continued activation of a bypass/salvage pathway that would otherwise drive tumour growth, and result in treatment failure. Since ErbB1, ErbB2 and the vascular endothelial growth factor receptor (VEGFR) all share Raf/MEK/ERK as a downstream signalling pathway, and since there is cross-talk between this pathway and the PI3K/Akt survival pathway, the evaluation of combinations of targeted agents that inhibit all three pathways could conceivably show promise in the treatment of ErbB2-positive breast cancer. Hence, a future challenge for solid tumours, including breast cancer, is the identification and optimal use of the most appropriate treatment combinations and/or treatment sequences; these could include combining a targeted agent with another targeted agent and/or with a standard chemotherapy. Predicting and managing toxicities with such novel combinations and treatment sequences will also be important. One such example could be the known efficacy of the combination of trastuzumab plus anthracycline in metastatic ErbB2-positive breast cancer, which carries an attendant raised risk of cardiovascular adverse events <sup>29,35,36</sup> that is of sufficient concern for this combination not to be recommended in current treatment guidelines. 37,38 As discussed in the final review in this supplement, such trials are either planned or already ongoing.

The evaluation of novel targeted agents for the treatment of any malignancy also poses special challenges to laboratory and clinical scientists. These include the creation of appropriate models to effectively assess the effect of the agent on its putative target (monitoring target inhibition), the validation of new surrogate endpoints, and the use of effective clinical trial designs incorporating the most appropriate endpoints to enable an accurate assessment of clinical outcome.

# 4. Overview of targeted agents: focus on ErbB2-positive breast cancer pipeline

A number of novel targeted agents are currently in development, or approved, for the treatment of several difficult-to-treat solid tumour types (Table 2). In terms of the treatment of ErbB2-positive breast cancer, of these

General target	Specific target	Agent or approach	
Signal transduction	Growth factor receptors		
	ErbB1 (EGFR)	Erlotinib, gefitinib	
	ErbB2 (HER2)	Trastuzumab, pertuzumab	
	ErbB1 and ErbB2	Lapatinib	
	Bcr-Abl	Imatinib	
	Ras	Farnesyl transferase inhibitors	
	Raf	Antisense oligonucleotides	
Angiogenesis and metastasis	VEGFR	Sunitinib, pazopanib	
	VEGF	Bevacizumab	
	Matrix metalloproteinases	AE-941	
	Integrins	Humanized LM609 mAb	
Tumour suppressor gene	p53	Gene therapy	
	p16	Gene therapy	
Cell cycle control	Cyclin dependent kinases	Flavopiridol	
	mTOR	Temsirolimus (CCI779), everolimus	

EGFR = epidermal growth factor receptor; mAb = monoclonal antibody; mTOR = mammalian target of rapamycin;

targeted agents, trastuzumab is already approved for the treatment of ErbB2-positive metastatic and early breast cancer. 39 Several other molecules are in the development pipeline for the treatment of ErbB2-positive breast cancer, the most advanced of which is the small-molecule dual-targeted inhibitor lapatinib, which potently and reversibly inhibits the receptor tyrosine kinase activity of ErbB1 and ErbB2. 40-43 The evidence to date suggests that ErbB1 is not a clinically relevant target in breast cancer, and that the clinical activity of lapatinib is due to its inhibitory activity against ErbB2. 44 This is not surprising since ErbB2-positive breast cancer has been shown to be an example of 'oncogene addiction', where tumour growth is primarily driven by a single oncogene (i.e., ErbB2 overexpression), as described earlier in this article. 21,22

VEGF = vascular endothelial growth factor; VEGFR = VEGF receptor.

Further evidence for the lack of relevance of ErbB1 as a therapeutic target in breast cancer is provided by the disappointing results of a Phase II study of the ErbB1 inhibitor gefitinib in the treatment of patients with advanced breast cancer who had not been heavily pretreated; although some patients in this study did achieve stable disease, no complete or partial responses were observed. 45 Similarly, in a retrospective subgroup analysis of a Phase III study evaluating first-line therapy with lapatinib plus paclitaxel versus paclitaxel alone in patients with metastatic breast cancer unselected for ErbB2-positivity, overexpression of ErbB1 did not predict for clinical benefit with lapatinib. 46

However, in other tumour types, such as head and neck, bladder and lung cancer, ErbB1 may be a relevant therapeutic target. For example, both lapatinib and the ErbB1 inhibitor cetuximab have shown promising data in patients with squamous cell carcinoma of the head and neck. <sup>47,48</sup> Similarly, lapatinib monotherapy has shown activity in locally advanced or metastatic transitional cell carcinoma of the urothelial tract, <sup>49</sup> and the ErbB1 inhibitors gefitinib and erlotinib have both demonstrated efficacy in advanced or metastatic nonsmall cell lung cancer, as first-line therapy or second-line to chemotherapy, respectively. <sup>50,51</sup>

Aside from lapatinib, novel monoclonal antibodies, such as pertuzumab, and inhibitors of angiogenesis are among those agents in development for ErbB2-positive breast cancer, which are discussed in the following review in this supplement. Other targeted agents that could show future promise in the treatment of breast cancer, but are currently in development in other tumour types, include those which target the PI3K/PTEN/Akt/mTOR pathway. <sup>52</sup>

The articles in this supplement explore the issues and latest advances relating to the treatment of ErbB2-positive breast cancer and summarize a satellite symposium which took place at The European Cancer Conference (ECCO) 14, held in Barcelona on September 24, 2007, entitled New insight, new outlook: evolving options and future challenges for targeted therapies in ErbB2 (HER2)-positive breast cancer. Specifically, the articles in this supplement cover three important topics: the unmet needs and current challenges that need to be overcome to improve clinical outcomes in patients with ErbB2-positive breast cancer receiving targeted therapies; recent advances with novel targeted agents in development for ErbB2-positive breast cancer that may ultimately represent

improved first-line options and/or offer hope to those patients who do not respond to, or who fail on, trastuzumab-based regimens; and future options for improved targeted therapy of early and metastatic ErbB2-positive breast cancer. Particular focus will be placed on the current and ongoing preclinical and clinical development of lapatinib throughout this supplement, as this is the most advanced of the targeted agents in the pipeline (and already approved in the United States and Europe) for the treatment of ErbB2-positive breast cancer.

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